SURFACE EMBEDDING OF NON-BIPARTITE k-EXTENDABLE GRAPHS

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ABSTRACT. We find the minimum number $k = \mu'(\Sigma)$ for any surface Σ , such that every Σ -embeddable non-bipartite graph is not k-extendable. In particular, we construct the so-called bow-tie graphs $C_6 \bowtie P_n$, and show that they are 3-extendable. This confirms the existence of an infinite number of 3-extendable non-bipartite graphs which can be embedded in the Klein bottle.

1. Introduction

A matching M of a graph G is said to be extendable if G has a perfect matching containing M. Much attention to the theory of matching extension has been paid since it was introduced by Plummer [17] in 1980. We recommend Lovász and Plummer's book [11] for an excellent survey of the matching theory, and [21,27] for recent progress. Interests in the matching extensions of graphs embedded on surfaces began with the charming result [19] that no planar graph is 3-extendable. We refer the reader to Gross and Tucker's book [5] for basic notions on topological graph theory; see also [2].

Plummer [18] considered the problem of determining the minimum integer k such that every Σ -embeddable graph is not k-extendable. Based on some partial results of Plummer, Dean [3] found the complete answer to this problem.

Theorem 1.1 (Dean, Plummer). Let Σ be a surface of characteristic χ . Let $\mu(\Sigma)$ to be the minimum integer k such that every Σ -embeddable graph is not k-extendable. Then we have

(1.1)
$$\mu(\Sigma) = \begin{cases} 3, & \text{if the surface } \Sigma \text{ is homeomorphic to the sphere;} \\ 2 + \lfloor \sqrt{4 - 2\chi} \rfloor, & \text{otherwise.} \end{cases}$$

Its proof made a heavy use of the Euler contribution technique, which dates back to Lebesgue [8], developed by Ore [14], and flourished by Ore and Plummer [15].

In a previous paper [12], we extended Theorem 1.1 by finding the minimum integer k such that there is no Σ -embeddable (n,k)-graphs, where an (n,k)-graph is a graph whose subgraph obtained by removing any n vertices is k-extendable. This paper continues the study of this embeddable-extendable type of problems. We dig a little deeper by concentrating on non-bipartite graphs. Here is our main result.

Theorem 1.2. Let Σ be a surface of characteristic χ . Let $\mu'(\Sigma)$ to be the minimum integer k such that every Σ -embeddable non-bipartite graph is not k-extendable. Then we have

(1.2)
$$\mu'(\Sigma) = \begin{cases} 4, & \text{if } \chi \in \{-1, 0\}; \\ \lfloor (7 + \sqrt{49 - 24\chi})/4 \rfloor, & \text{otherwise.} \end{cases}$$

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Non-bipartite graphs differ from bipartite graphs in many aspects, even if we are concerned with only matching problems. For instance, König theorem states that the maximum size of a matching in a bipartite graph equals the minimum size of a node cover; see Rizzi [24] for a short proof. Taking a triangle as the graph under consideration, one may see immediately that non-bipartite graphs do not admit this beautiful property in general.

Another example is on the algorithmic complexity. Lakhal and Litzler [7] discovered a polynomial-time algorithm for the problem of finding the extendability of a bipartite graph. It is still unknown that whether the same extendability problem for non-bipartite graphs can be solved in polynomial time or not; see Plummer [20].

The sharp distinction between the appearances of Eqs. (1.1) and (1.2), also supports the above difference between bipartite and non-bipartite graphs in the theory of matching extensions.

A big part of the proof of Theorem 1.2 is to show the 3-extendability of some so-called bow-tie graphs. We think the family of bow-tie graphs is interesting also on its own right. We will confirm the infinity of the number of 3-extendable graphs which can be embedded onto the Klein bottle, and which are non-bipartite. An infinity number of such, but bipartite, graphs, were constructed recursively by Aldred, Kawarabayashi, and Plummer [1].

This paper is organized as follows. In the next section we list necessary notions and notations, as well as necessary known results in the field of surface embedding and matching extension of graphs. The stand-alone Section 3 is devoted to the extendability of the two families of Cartesian product graphs of paths and cycles, and of the bow-tie graphs denoted as $C_6 \bowtie P_n$. We also pose a conjecture for the 3-extendability of the general bow-tie graphs. In Section 4 we establish Theorem 1.2 with the aid of these extendability results.

2. Preliminaries

This section contains an overview of necessary notion and notation. Let G = (V, E) be a simple graph. We denote the number |V(G)| of vertices by |G| for short. Denote by $\delta(G)$ the minimum degree of G, and by $\kappa(G)$ the connectivity.

2.1. The surface embedding. A surface is a connected compact Hausdorff space which is locally homeomorphic to an open disc in the plane. If a surface Σ is obtained from the sphere by adding some number g of handles (resp., some number \tilde{g} of cross-caps), then Σ is said to be orientable of genus g (resp., non-orientable of non-orientable genus \tilde{g}). We shall follow the usual convention of denoting the surface of genus h (resp., non-orientable genus h) by h0 (resp., h2).

For a general surface Σ , let $g(\Sigma)$ be the genus of Σ . The Euler characteristic $\chi(\Sigma)$ is defined by

$$\chi(\Sigma) = \begin{cases} 2 - 2g(\Sigma), & \text{if } \Sigma \text{ is orientable,} \\ 2 - g(\Sigma), & \text{if } \Sigma \text{ is non-orientable.} \end{cases}$$

A 2-cell (or cellular) embedding of a graph G onto a surface is a drawing of the graph G on the surface such that the edges of G crosses only at the vertices of G, and that every face is homeomorphic to an open disk. In this paper, we wording "embedding" always means cellular embedding. We say that a graph G is Σ -embeddable if there exists an embedding of the graph G on the surface Σ . The minimum value g such that G is S_g -embeddable is said to be the genus of G, denoted g(G). Any embedding of G on $S_{g(G)}$ is said to be a minimal (orientable) embedding. Similarly, the minimum value \tilde{g} such that G is $N_{\tilde{g}}$ -embeddable is said to be the non-orientable genus of G, denoted $\tilde{g}(G)$. Any embedding of G on $N_{\tilde{g}(G)}$ is said to be a minimal (non-orientable) embedding. Working on minimal embeddings, one should notice the following two fundamental results, which are due to Youngs [26] and Parsons et al. [16] respectively.

Theorem 2.1 (Youngs). Every minimal orientable embedding of a graph is 2-cell.

Theorem 2.2 (Parson, Pica, Pisanski, Ventre). Every graph has a minimal non-orientable embedding which is 2-cell.

The formula of non-orientable genera of complete graphs was found by Franklin [4] in 1934 for K_7 , and by Ringel [22] in 1954 for the other K_n . Early contributors include Heawood, Tietze, Kagno, Bose, Coxeter, Dirac, and so on; see [22]. The more difficult problem of finding the genera of complete graphs has been explored by Heffter, Ringel, Youngs, Gustin, Terry, Welch, Guy, Mayer, and so on. A short history can be found in the famous work [23] of Ringel and Youngs in 1968, who settled the last case. These formulas are as follows.

Theorem 2.3. Let $n \geq 5$. We have

- (i) $\tilde{g}(K_7) = 3$ and $\tilde{g}(K_n) = \lceil (n-3)(n-4)/6 \rceil$ when $n \neq 7$;
- (ii) $g(K_n) = \lceil (n-3)(n-4)/12 \rceil$.
- 2.2. The Euler contribution. Let $G \to \Sigma$ be an embedding of a graph G on the surface Σ . Euler's formula states that

$$|G| - e + f = \chi(G)$$
.

where e is the number of edges of G, and f is the number of faces in the embedding. Let x_i denote the size of the ith face containing v, i.e., the length of its boundary walk. The *Euler contribution* of v is defined to be

$$\Phi(v) = 1 - \frac{d(v)}{2} + \sum_{i} \frac{1}{x_i},$$

where the sum ranges over all faces containing v. One should keep in mind that a face may contribute more than one angle to a vertex. This can be seen from the embedding of K_5 on the torus. From Euler's formula, in any embedding of a connected graph G, we have

$$\sum_{v} \Phi(v) = \chi(\Sigma).$$

Thus there exists a vertex v such that

(2.1)
$$\Phi(v) \ge \frac{\chi(\Sigma)}{|G|}.$$

Such a vertex is said to be a *control point* of the embedding. Definition (2.1) implies the following lemma immediately, see also [18, Lemma 2.5] or [3, Lemma 2.5] for its proof.

Lemma 2.4. Let G be a connected graph of at least 3 vertices. Let $G \to \Sigma$ be an embedding. Let v be a control point which is contained in x triangular faces. Then we have

(2.2)
$$\frac{d(v)}{6} \le \frac{d(v)}{4} - \frac{x}{12} \le 1 - \frac{\chi(\Sigma)}{|G|}.$$

2.3. The matching extension. Let G be a graph and $k \geq 0$. A k-matching of G is a collection of k pairwise disjoint edges. Perfect matchings are |G|/2-matchings. A near perfect matching of the graph G is a perfect matching of the graph G - v for some vertex v of G. The most basic result for perfect matchings is Tutte's theorem [25].

Theorem 2.5 (Tutte). A graph G has a perfect matching if and only if for every vertex subset S, the subgraph G - S has at most |S| connected components with an odd number of vertices.

A k-matching of the graph G is said to be perfect if G has exactly 2k vertices. The graph G is said to be k-extendable if

- it has a perfect matching, and
- for any k-matching M, the graph G has a perfect matching containing M.

The following basic property on the connectivity of extendable graphs can be found in [17].

Theorem 2.6 (Plummer). Let $k \geq 0$ and let G be a connected k-extendable graph. Then G is (k+1)-connected, and thus $\delta(G) \geq k+1$.

Liu and Yu [9] found the following result for the extendability of Cartesian product graphs.

Theorem 2.7 (Liu-Yu). Let G_1 be a k-extendable graph and G_2 be a connected graph. Then the Cartesian product $G_1 \times G_2$ is (k+1)-extendable.

Györi and Plummer [6] gave the following nice generalization.

Theorem 2.8 (Györi-Plummer). The Cartesian product of a k-extendable graph and an l-extendable graph is (k+l+1)-extendable.

Plummer [19] also gave the famous result that no planar graph is 3-extendable. The next deeper result is due to Lou and Yu [10, Theorem 7]; see also [27, Chap. 6].

Theorem 2.9 (Lou, Yu). If G is a k-extendable graph of order at most 4k, then either G is bipartite or the connectivity $\kappa(G)$ of G is at least 2k.

We also need the following result, whose proof can be found in [3, 12].

Lemma 2.10. Let $k \geq 1$. Let G be a connected k-extendable graph embedded on a surface Σ . Let v be a vertex of G which is contained in x triangular faces in the embedding. Then we have

$$d(v) \ge \begin{cases} k+1+\lceil x/2\rceil, & \text{if } x \le 2k-2, \\ 2k+1, & \text{if } x \ge 2k-1. \end{cases}$$

3. The matching extension of special product graphs

In this section, we shall establish the 3-extendability for some special graphs, which will be used in handling some sporadic cases in proving Theorem 1.2.

Denote by P_m the path having m vertices. Denote by $P_m \times P_n$, as usual, the Cartesian product graph of the paths P_m and P_n . We label its vertices by $v_{i,j}$ (or by v_{ij} if there is no confusion), from the northwest to the southeast, where $i \in [m]$ and $j \in [n]$. We use the notation R_i to denote the vertex set $\{v_{i1}, v_{i2}, \ldots, v_{in}\}$ of the *i*-th row; and use the notation T_j to denote the vertex set $\{v_{1j}, v_{2j}, \ldots, v_{mj}\}$ of the j-th column. We say that any edge in a row is horizontal, and that any edge in a column is vertical. For convenience, we consider the first subscript i of the notation v_{ij} as modulo m, and consider the second subscript j as modulo n, i.e.,

$$v_{i+km, i+hn} = v_{ij}$$
 for all $k, h \in \mathbb{Z}$.

It follows that $R_{i+m} = R_i$ for all i, and that $T_{j+n} = T_j$ for all j. Denote by C_n the cycle having n

vertices. We use the same way to label the vertices of the graphs $P_m \times C_n$ and $C_m \times C_n$. For any positive integers m and n, we define the bow-tie graph $C_m \bowtie P_n$ to be the graph obtained from the graph $C_m \times P_n$ by adding the edges $v_{i1}v_{m+2-i,n}$ for all $i \in [m]$. From Fig. 1, it is easy to see that the graph $C_m \bowtie P_n$ is N_2 -embeddable.

v_{12}	v_{13}	v_1	v_{15}	v_{11}
v_{22}	v_{23}	v_2	v_{25}	v_{61}
	lloo	210	205	v_{51}
032	033	03	4 035	051
v_{42}	v_{43}	v_4	v_{45}	v_{41}
v_{52}	v_{53}	v_5	v_{55}	v_{31}
v_{62}	v_{63}	v_6	v_{65}	v_{21}
v_{12}	v_{13}	v_1	v_{15}	v_{11}
	v_{22} v_{32} v_{42}	$egin{array}{cccccccccccccccccccccccccccccccccccc$	$egin{array}{c ccccccccccccccccccccccccccccccccccc$	$egin{array}{c ccccccccccccccccccccccccccccccccccc$

FIGURE 1. The bowtie graph $C_6 \bowtie P_5$ is N_2 -extendable.

In the subsequent three subsections, we will explore the matching extension of the following Cartesian product graphs respectively:

$$P_m \times C_n$$
, $C_m \times C_n$, and $C_m \bowtie P_n$.

Precisely speaking, we will show that the graph $P_m \times C_n$ is 2-extendable, and the other two graphs are 3-extendable, subject to some natural conditions on the integers m and n.

Here we describe a combinatorial idea, which will be adopted in all the proofs uniformly. Let G be a graph with a matching M. We say that G is separable by a subgraph G' (with respect to M), if

- the matching M has at least one edge in the subgraph G'; and
- no edge of the matching M has ends in both of the subgraphs G' and G V(G').

We call the subgraph G' an M-separator of G, if

- the subgraph G' has a perfect matching containing the edge set $M \cap E(G')$; and
- the subgraph G V(G') has a perfect matching containing the edge set $M \cap E(G V(G'))$.

In particular, the subgraph G - V(G') has a perfect matching even if the set $M \cap E(G - V(G'))$ is empty. From the above definition, it is direct to see that the extendability of a matching M can be confirmed by finding an M-separator. We call this approach the *separator method*. We will use it uniformly by choosing the separator to be a subgraph induced by consecutive rows or columns.

3.1. The 2-extendability of the graph $P_m \times C_n$. This subsection is devoted to establish the following result. It is basic and will be used in the proof of Lemma 3.2, Theorem 3.3, and Theorem 1.2.

Theorem 3.1. Let $m, n \ge 4$. The Cartesian product graph $P_m \times C_n$ is 2-extendable if and only if the integer m or n is even.

Proof. The necessity is clear from the definition. When n is even, the cycle C_n is 1-extendable. Thus the sufficiency is true from Theorem 2.7. It suffices to show the sufficiency for odd n.

Let $n \geq 5$ be an odd integer. Then the integer m is even. Let G be the graph $P_m \times C_n$, with a 2-matching $M = \{e_1, e_2\}$. Note that every column of the graph G is isomorphic to the path P_m ,

which has a perfect matching. We will adopt the separator method by finding some columns, whose induced subgraph has a perfect matching containing the matching M. We have 3 cases to treat.

Case 1. There are two disjoint pairs of adjacent columns, such that one pair contains the vertex set $V(e_1)$, and the other pair contains the vertex set $V(e_2)$. Since the subgraph induced by any two adjacent columns is 1-extendable, the four columns form an M-separator.

Case 2. The vertex set V(M) is contained in two adjacent columns, and Case 1 does not occur. Then the two adjacent columns form an M-separator. In fact, when both the edges e_1 and e_2 are vertical and in distinct columns, the previous possibility happens, a contradiction. In other words, either the vertex set V(M) is contained in one column, or one of the edges in the matching M is horizontal.

Case 3. Otherwise, the vertex set V(M) intersects with exactly three consecutive columns, and both the edges e_1 and e_2 are horizontal.

We proceed by induction on m. For m = 4, we have 2 subcases to treat.

Case 1. Assume that the edges in the matching M lie in Row 1 and Row 2, or in Row 1 and Row 3. Since every row is isomorphic to a cycle, we can suppose without loss of generality that

$$e_1 = v_{11}v_{12}$$
 and $e_2 \in \{v_{22}v_{23}, v_{32}v_{33}\}.$

In this case, the subgraph $G[T_1, T_2, T_3, T_4]$ has the perfect matching

$$\{v_{11}v_{12}, v_{13}v_{14}, v_{22}v_{23}, v_{32}v_{33}, v_{41}v_{42}, v_{43}v_{44}, v_{21}v_{31}, v_{24}v_{34}\},\$$

which contains the matching M; see Fig. 2.

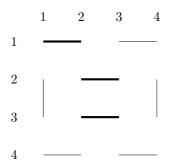


Figure 2. The extension of the matching M for Case 1.

Case 2. Otherwise, the edges in the matching M lie in Row 1 and Row 4, or in Row 2 and Row 3. We can suppose that

$$M = \{v_{11}v_{12}, v_{42}v_{43}\}$$
 or $M = \{v_{21}v_{22}, v_{32}v_{33}\}.$

In this case, the subgraph $G[T_1, T_2, T_3]$ has the perfect matching

$$\{v_{11}v_{12}, v_{21}v_{v22}, v_{13}v_{23}, v_{31}v_{41}, v_{32}v_{33}, v_{42}v_{43}\},\$$

which contains the matching M; see Fig. 3. This completes the proof for m=4.

Now we can suppose that $m \geq 6$, and that the graph $P_{m-2} \times C_n$ is 2-extendable. Note that the subgraph induced by any two adjacent rows has a perfect matching. By induction, we are done if the matching M shares no vertices with the first two rows. For the same reason, we are done if the matching M shares no vertices with the last two rows. Since $m \geq 6$, we can suppose that the horizontal

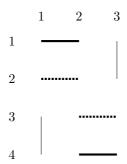


FIGURE 3. The extension of the matching M for Case 2.

edge e_1 is in the first two rows, and that the horizontal edge e_2 is in the last two rows. On one hand, the subgraph $G[R_1, R_2]$ is isomorphic to the graph $P_2 \times C_n$, which is 1-extendable. On the other hand, the subgraph $G - R_1 - R_2$ is isomorphic to the graph $P_{m-2} \times C_n$, which is 2-extendable by induction hypothesis. Hence, the subgraph $G[R_1, R_2]$ is an M-separator. This completes the proof.

3.2. The 3-extendability of the graph $C_m \times C_n$. In this subsection we study the extendability of the graph $C_m \times C_n$, which will be used in the proof of Theorem 1.2.

A necessary condition for the graph $C_m \times C_n$ to have a perfect matching is that one of the integers m and n is even. By symmetry, we can suppose that the integer m is even. In virtue of Theorem 2.8, the graph $C_m \times C_n$ is 3-extendable if the integer n is also even. Therefore, we can suppose that n is odd. The following lemma will be used for several times.

Lemma 3.2. Let $m \ge 6$ be an even integer, and let $n \ge 5$ be an odd integer. Let G be the graph $C_m \times C_n$, with a 3-matching M. Then the matching M is extendable if G is separable by

- (i) a subgraph $G[R_i, R_{i+1}]$ for some $i \in [m]$, which contains exactly one edge of the matching M; or (ii) a subgraph $G[T_j, T_{j+1}]$ for some $j \in [n]$, which contains one or two edges of the matching M.
- *Proof.* We prove the validities of Condition (i) and Condition (ii) individually. Let $i \in [m]$ and $j \in [n]$.
- (i) The subgraph $G[R_i, R_{i+1}]$ is isomorphic to the graph $P_2 \times C_n$, which is 1-extendable. The subgraph $G R_i R_{i+1}$ is isomorphic to the graph $P_{m-2} \times C_n$. Since $m-2 \ge 4$, then the subgraph $G R_i R_{i+1}$ is 2-extendable by Theorem 3.1. Hence, the matching M is extendable in the graph G.
- (ii) The subgraph $G[T_j, T_{j+1}]$ is isomorphic to the graph $C_m \times P_2$, and the subgraph $G T_j T_{j+1}$ is isomorphic to the graph $C_m \times P_{n-2}$. Both of them are 2-extendable by Theorem 2.8. Hence, the matching M is extendable in the graph G.

Here the main result of this subsection.

Theorem 3.3. Let $m \ge 6$ be an even integer, and let $n \ge 5$ be an odd integer. Then the Cartesian product graph $C_m \times C_n$ is 3-extendable.

Proof. Let $m \ge 6$ be an even integer, and let $n \ge 5$ be an odd integer. Let G be the graph $C_m \times C_n$, with a 3-matching $M = \{e_1, e_2, e_3\}$. In order to show that the matching M is extendable, it suffices to find

- a row index i^* such that the subgraph $G[R_{i^*}, R_{i^*+1}]$ is an M-separator, or
- a column index j^* such that the subgraph $G[T_{j^*}, T_{j^*+1}]$ is an M-separator.

Let h be the number of horizontal edges in the matching M. Then we have $h \in \{0, 1, 2, 3\}$. We treat these 4 cases individually.

Case 1. h = 0, that is, all edges in the matching M are vertical. Note that each column of the graph G is isomorphic to the cycle C_m , which is 1-extendable. If the 3 edges in the matching M are in distinct columns, we are done immediately. If they are in the same column, then that column together with one of its adjacent columns form an M-separator. Otherwise, we can suppose that Column j contains the edges e_1 and e_2 , but not the edge e_3 . By virtue of Lemma 3.2, we can take $j^* = j$ if the edge e_3 is not in Column (j+1); and take $j^* = j+1$ otherwise.

Case 2. h = 1. In this case, we can suppose that the edge e_1 is horizontal, and that the edges e_2 and e_3 are vertical. Since each row is isomorphic to a cycle, we can further suppose that the edge $e_1 = v_{i1}v_{i2}$ intersects with the first two columns.

If at most one of the edges e_2 and e_3 is in the first two columns, then we can take $j^* = 1$ by Lemma 3.2. Otherwise, both of them are in the first two columns. If the vertex set V(M) misses Row (i+1), then we can take $i^* = i$ by Lemma 3.2. For the same reason, we can take $i^* = i - 1$ if the vertex set V(M) misses Row (i-1). Otherwise, one of the vertical edges e_2 and e_3 is immediately above the horizontal edge e_1 , and the other is immediately below the edge e_1 . In this case, we can take $i^* = i + 1$ by Lemma 3.2.

Case 3. h=2. We can suppose that the edges e_1 and e_2 are horizontal, and that the edge e_3 is vertical. Furthermore, we can suppose that the horizontal edge e_1 intersects with the first two columns, the horizontal edge e_2 intersects with Column j and Column (j+1), and that the vertical edge $e_3 = v_{pq}v_{p+1,q}$, where $p \in [m]$ and $q \in [n]$.

If j=1, to wit, the horizontal edge e_2 lies below the edge e_1 . In this case, we can take $j^*=1$. In fact, since the edge e_3 is vertical, the graph G is separable by the subgraph $G[T_1, T_2]$. On the other hand, it is easy to see that both of the subgraphs $G[T_1, T_2] - V(e_1 \cup e_2)$ and $G - T_1 - T_2$ are 1-extendable

If $j \geq 3$, then the graph G is separable by the first two columns with respect to the matching M. In this case, we can also take $j^* = 1$, by using Lemma 3.2.

Otherwise, we have j = 2, that is, the vertex set of the horizontal edges e_1 and e_2 intersects with exactly the first three columns.

- If $q \in [3]$, i.e., the edge e_3 is also contained in the subgraph $G[T_1, T_2, T_3]$, then we can take $i^* = p$. In fact, the subgraph $G' = G[R_p, R_{p+1}]$ contains the vertical edge e_3 , and possibly one of the horizontal edges e_1 and e_2 . In any case, the matching $M \cap E(G')$ is extendable in the subgraph G'.
- If $q \geq 4$, i.e., the vertical edge e_3 has empty intersection with the first three columns. In this case, the subgraph $G[T_q]$ is an M-separator. In fact, the subgraph $G[T_q]$, which contains the edge e_3 , is isomorphic to the cycle C_m , which is 1-extendable. On the other hand, the subgraph $G T_q$ is isomorphic to the graph $C_m \times P_{n-1}$, i.e., the graph $P_{n-1} \times C_m$. Since $n-1 \geq 4$, it is 2-extendable by Theorem 3.1.

Case 4. h = 3. If all edges in M lie in the same row, by Lemma 3.2, we can take $j^* = j$ for any edge $v_{ij}v_{i,j+1} \in M$. Otherwise, there exists a row R_i containing exactly one edge in M such that one of its adjacent rows has no edges in M. In other words, either $R_{i-1} \cap V(M) = \emptyset$ or $R_{i+1} \cap V(M) = \emptyset$. By Lemma 3.2, we can take $i^* = i - 1$ in the former case, and $i^* = i$ in the latter case.

This completes the proof. \Box

We remark that the graph $C_4 \times C_n$ is not 3-extendable when n is odd. This can be seen from the fact that the particular 3-matching

$$M = \{v_{11}v_{12}, v_{22}v_{32}, v_{31}v_{41}\}\$$

is not extendable; see Fig. 4. Define

$$U = \{v_{i,2j}: i \in \{1,3\}, 2 \le j \le (n-1)/2\} \cup \{v_{i,2j+1}: i \in \{2,4\}, 1 \le j \le (n-1)/2\}.$$

We have |U| = 2n - 4. Note that the subgraph G - V(M) - U consists of 2n - 2 isolated vertices. By Tutte's theorem (see Theorem 2.5), the subgraph G - V(M) has no perfect matchings.

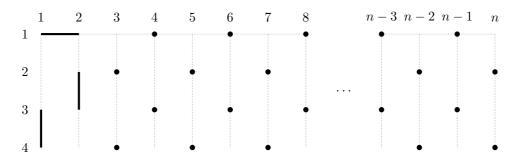


FIGURE 4. The graph $C_4 \times C_n$ is not 3-extendable when n is odd.

3.3. The 3-extendability of the graph $C_6 \bowtie P_n$. When the integer n is even, the spanning subgraph $C_6 \times P_n$ of the bow-tie graph $C_6 \bowtie P_n$ is 3-extendable by Theorem 2.8. In this subsection, we will show the 3-extendability of the graph $C_6 \bowtie P_n$ for odd integers $n \ge 5$.

It is easy to see that the graph $C_6 \bowtie P_n$ can be drawn as in Fig. 5, which is symmetric up and down. For convenience, we rename the vertices in the following way:

$$v_{1i} = h_i,$$
 $v_{6i} = q_i,$ $v_{2i} = q_{i+n},$
 $v_{4i} = h'_i,$ $v_{5i} = q'_i,$ $v_{3i} = q'_{i+n},$

and use capital letters to denote vertex subsets as follows:

$$H = \{ h_i : i \in [n] \}, \qquad Q = \{ q_j : j \in [2n] \}, \qquad J = H \cup Q,$$

$$H' = \{ h'_i : i \in [n] \}, \qquad Q' = \{ q'_j : j \in [2n] \}, \qquad J' = H' \cup Q'.$$

Let us keep in mind that the subscript i in the symbols h_i are considered modulo n, and the subscript i in the symbols q_i are modulo 2n, namely,

$$h_{i+n} = h_i$$
 and $q_{j+2n} = q_j$ for all integers i and j .

Theorem 3.4. Let $n \geq 5$ be an odd integer. Then the bow-tie graph $C_6 \bowtie P_n$ is 3-extendable.

Proof. Let G be the bow-tie graph $C_6 \bowtie P_n$.

Let M_0 be a 3-matching of the graph G. We call an edge of M_0 faithful if it is an edge of the subgraph G[J]; co-faithful if it is an edge of the subgraph G[J']; and unfaithful otherwise, i.e., if it is an edge of the form $q_jq'_j$ for some $j \in [2n]$. Correspondingly, we call a vertex of the matching M_0 faithful (resp., co-faithful, unfaithful) if it is a vertex of a faithful (resp., co-faithful, unfaithful) edge.

Suppose that the matching M_0 has x faithful edges, y unfaithful edges, and z co-faithful edges. Then we have x + y + z = 3. By the symmetry of the graph G, we can suppose that $x \ge z$. Then we

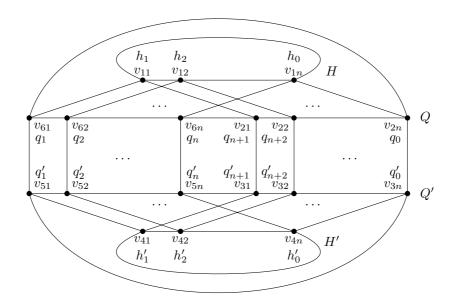


FIGURE 5. The graph $C_6 \bowtie P_n$.

have z = 0 or z = 1. For each of them, we will construct a perfect matching of the graph G which extends the matching M_0 .

The following lemma serves for Lemma 3.6, by which we can solve the case z = 0. The other case z = 1 can be divided into the cases (x, y, z) = (1, 1, 1) and (x, y, z) = (2, 0, 1). We will handle them by Lemma 3.7 and Lemma 3.8 respectively.

Lemma 3.5. Every 3-matching of the subgraph G[J] can be extended to a matching covering the vertex set H.

Proof. Suppose that the claim is false. Let M_0 be a 3-matching which is not extendable in this way. Let \tilde{M} be an extension of the matching M_0 , which covers the maximum number of vertices in the set H. Without loss of generality, we can suppose that $h_1 \notin V(\tilde{M})$. By the choice of the matching \tilde{M} , we infer that $q_1, q_{n+1} \in V(M_0)$. Therefore, we can write

$$M_0 = \{q_0q_1, e_2, e_3\},\$$

where $e_2 \in \{q_n q_{n+1}, q_{n+1} q_{n+2}\}$. We will find a contradiction by constructing an extension of the matching M_0 , which covers the vertex set H. It suffices to find a matching M of the subgraph $G[H] - V(M_0)$ such that the subgraph $G[H] - V(e_3) - V(M)$ consists of paths of even orders. We proceed according to the number of vertices in H covered by the edge e_3 .

Case 1. If $V(e_3) \cap H = \emptyset$, then we can define

$$M = \begin{cases} \{h_2 q_2\}, & \text{if } q_2 \notin V(e_3), \\ \{h_{n-1} q_{n-1}\}, & \text{otherwise.} \end{cases}$$

Case 2. If $|V(e_3) \cap H| = 1$, then we can define $M = \emptyset$.

Case 3. If $|V(e_3) \cap H| = 2$, then we have $e_3 = h_i h_{i+1}$, where $i \in \{2, 3, \dots, n-1\}$. We can define

$$M = \begin{cases} \{h_{n-1}q_{n-1}\}, & \text{if } i \text{ is even and } i \neq n-1; \\ \{h_2q_2\}, & \text{if } i \text{ is odd;} \\ \{h_3q_3\}, & \text{if } i = n-1. \end{cases}$$

This proves Lemma 3.5.

Here is the lemma by using which the case z=0 can be solved.

Lemma 3.6. Suppose that the matching M_0 has no co-faithful edges. Then the subgraph G[J] has a matching M such that M covers both the faithful edges and the vertex set H, and that M misses any unfaithful vertex.

Proof. We will prove it case by case, according to the number of faithful edges, say, f. The case f = 3 is Lemma 3.5.

When f = 2, let $q_j q'_j$ be the unfaithful edge, where $j \in [2n]$. Assume that the vertex q_{j-1} is uncovered by the matching M_0 . By Lemma 3.5, the 3-matching $(M_0 - q_j q'_j) \cup \{q_{j-1}q_j\}$ can be extended to a matching M_1 , which covers the set H. Then the matching $M_1 - q_{j-1}q_j$ is a desired one. For the same reason, Lemma 3.6 holds true if the vertex q_{j+1} is uncovered by the matching M_0 . Now, we can suppose that both the vertices q_{j-1} and q_{j+1} are covered by M_0 . By Lemma 3.5, the matching

$$M_2 = (M_0 - q_j q_j') \cup \{h_j q_{j+n}\}\$$

can be extended to a matching, say, M'_2 , which covers the set H. Since all the three neighbors q_{j-1} , q_{j+1} and h_j , of the vertex q_j in the subgraph G[J], are in the matching M_2 which misses the vertex q_j , we infer that the unfaithful vertex q_j is not covered by the extended matching M'_2 . Therefore, the matching M'_2 is a desired one.

When f = 1, we can represent the matching M_0 as

$$M_0 = \{e_1, q_i q_i', q_k q_k'\},\$$

where $1 \leq j < k \leq 2n$. If the vertices q_j and q_k are not adjacent in the subgraph G[Q], namely, $|j-k| \neq 1 \pmod{2n}$, then there exist two distinct vertices u and w such that

$$u \in \{q_{j-1}, q_{j+1}\} \setminus V(e_1)$$
 and $w \in \{q_{k-1}, q_{k+1}\} \setminus V(e_1)$.

By Lemma 3.5, the matching $\{uq_j, wq_k, e_1\}$ can be extended to a matching, say, M_3' , which covers the set H. Then the matching $M_3' - uq_j - wq_k$ is a desired matching. Otherwise, the vertices q_j and q_k are adjacent. By Lemma 3.5, the 2-matching $\{q_jq_k\} \cup \{e_1\}$ can be extended to a matching, say, M_4' , which covers the set H. Then the matching $M_4' - q_jq_k$ is a desired matching.

When f = 0, the vertex set Q contains exactly three unfaithful vertices. Let q_j be a vertex in Q which is not unfaithful. Let M_5 be the perfect matching of the path $H - h_j$ of order n - 1. Then, the matching $M_5 \cup \{q_j h_j\}$ is a desired matching. This completes the proof of Lemma 3.6.

Now we deal with the first case z = 0. Let M be the matching obtained from Lemma 3.6. Let M' be the matching of the subgraph G[J'] which is symmetric to the matching M. In other words, an edge $h'_ih'_{i+1}$ (resp., $h'_jq'_j$, $q'_jq'_{j+1}$) is in the matching M' if and only if the edge h_ih_{i+1} (resp., h_jq_j , q_jq_{j+1}) is in the matching M. Then the set

$$M \cup M' \cup \{q_j q_j' : q_j \in J - V(M)\}$$

is a perfect matching of the graph G which covers the matching M_0 , as desired.

Next lemma is for the case (x, y, z) = (1, 1, 1).

Lemma 3.7. For any edge e in the subgraph G[J], and for any vertex q_k in the set Q - V(e), the subgraph $G[J] - V(e) - q_k$ has a perfect matching.

Proof. It suffices to find a matching M of the subgraph $G[J] - V(e) - q_k$, such that

- (i) the path G[H] V(M) V(e) is of even order;
- (ii) every path component of the subgraph $G[Q] V(M) V(e) q_k$ is of even order.

Below we will construct such a matching M according to the position of the edge e.

Case 1. If $V(e) \subset H$, we can suppose that $e = h_0 h_1$ without loss of generality. We can take the matching

$$M = \begin{cases} \{h_2 q_2\}, & \text{if } k \text{ is odd,} \\ \{h_2 q_{n+2}\}, & \text{if } k \text{ is even.} \end{cases}$$

Case 2. If $V(e) \cap H \neq \emptyset$ and $V(e) \cap Q \neq \emptyset$, then we can suppose that $e = h_1q_1$ without loss of generality. We can take the matching

$$M = \begin{cases} \emptyset, & \text{if } k \text{ is even;} \\ \{h_0 q_0, h_2 q_2\}, & \text{if } k \text{ is odd.} \end{cases}$$

Case 3. If $V(e) \subset Q$, then we can suppose that $e = q_0q_1$ without loss of generality. We can take the matching

$$M = \begin{cases} \{h_2 q_2\}, & \text{if } k \text{ is odd;} \\ \{h_{n-1} q_{2n-1}\}, & \text{if } k \text{ is even.} \end{cases}$$

This completes the proof.

Now we are ready to solve the case x = y = z = 1. By Lemma 3.7, the subgraph $G[J] - V(M_0)$ has a perfect matching. For the same reason, the subgraph $G[J'] - V(M_0)$ has a perfect matching. The union of these two matchings and the matching M_0 form a desired perfect matching of the graph G. For the last case (x, y, z) = (2, 0, 1), we will need the following lemma.

Lemma 3.8. Let e_0 be an edge of the subgraph G[Q]. Then any 2-matching of the subgraph G[J] can be extended to a near perfect matching of G[J], which covers the vertex set $H \cup V(e_0)$.

Proof. Let $\{e_1, e_2\}$ be a 2-matching of the subgraph G[J]. It suffices to show that the subgraph $G[J] - V(e_1 \cup e_2)$ has a matching M such that

- (i) every path component of the subgraph $G[H] V(e_1 \cup e_2 \cup M)$ is of even order;
- (ii) at most one of the path components of the subgraph $G[Q] V(e_1 \cup e_2 \cup M)$ is of odd order;
- (iii) if the subgraph $G[Q] V(e_1 \cup e_2 \cup M)$ has an isolated vertex, then the isolated vertex is not an end of the edge e_0 .

If such a matching M exists, then the subgraph $G[Q] - V(e_1 \cup e_2 \cup M)$ has a near perfect matching M', such that the matching $M \cup M' \cup \{e_1, e_2\}$ covers the vertex set $V(e_0)$. The desired result follows immediately.

Below we will seek the above matching M. According to the positions of the edges e_1 and e_2 , we have 6 cases to treat.

Case 1. Both the edges e_1 and e_2 are from the subgraph G[H].

The subgraph $G[H] - V(e_1 \cup e_2)$ consists of two paths of different parities of orders, where the path of even order might be empty. Let h_i be an end of the path of odd order. We can take the matching $M = \{h_i q_i\}$.

Case 2. The edge e_1 is from the subgraph G[H], and the edge $e_2 = h_j q_j$ for some $j \in [2n]$. We can suppose that $e_1 = h_0 h_1$ without loss of generality. Then we can take the matching

$$M = \begin{cases} \{h_j q_j: \ 2 \leq j \leq n-1\}, & \text{if } e_2 \in \{h_j q_j: \ 2 \leq j \leq n-1\}; \\ \{h_j q_j: \ n+2 \leq j \leq 2n-1\}, & \text{otherwise, i.e., if } e_2 \in \{h_j q_j: \ n+2 \leq j \leq 2n-1\}. \end{cases}$$

Case 3. The edge e_1 is from the subgraph G[H], and the edge e_2 is from the subgraph G[Q].

Without loss of generality, we can suppose that $e_2 = q_0q_1$ and $e_1 = h_ih_{i+1}$, where $0 \le i \le n-1$. Moreover, by symmetry, we can suppose that $0 \le i \le (n-1)/2$ without loss of generality. We can take the matching

$$M = \begin{cases} \{h_{i+2}q_{i+2}\}, & \text{if the vertex } q_2 \text{ is not an end of the edge } e_0; \\ \{h_3q_{n+3}\}, & \text{otherwise.} \end{cases}$$

Case 4. Both the edges e_1 and e_2 have the form $h_j q_j$, where $j \in [2n]$.

Without loss of generality, we can suppose that $e_1 = h_1 q_1$. Then we can take the matching

$$M = \begin{cases} \{h_j q_j: \ 2 \le j \le n\}, & \text{if } e_2 \in \{h_j q_j: \ 2 \le j \le n\}; \\ \{h_j q_j: \ n+2 \le j \le 2n\}, & \text{otherwise, i.e., if } e_2 \in \{h_j q_j: \ n+2 \le j \le 2n\}. \end{cases}$$

Case 5. The edge e_1 has the form h_jq_j for some $j \in [2n]$, and the edge e_2 is from the subgraph G[Q]. Without loss of generality, we can suppose that $e_2 = q_0q_1$, and that $j \in [n]$. Then we can take the matching

$$M = \begin{cases} \{h_2q_2, h_4q_4\}, & \text{if } q_2 \in V(e_0), \text{ and } j = 3; \\ \emptyset, & \text{otherwise.} \end{cases}$$

Case 6. Both the edges e_1 and e_2 are from the subgraph G[Q].

The subgraph $G[Q] - V(e_1 \cup e_2)$ consists of two paths, where one of them might be empty. Since the sum 2n-4 of their orders is even, the two paths have the same parity of orders. Since $2n-4 \ge 6$, there is at most one path is of order 1. If such an isolated vertex exists, say, q_j , then we can take the matching M to be the edge $h_j q_j$. Otherwise, we can take the matching M to be the edge $h_k q_k$, where q_k is an end of the path whose order is larger, or an end of any path when the two paths have the same orders.

This completes the proof of Lemma 3.8.

The last case (x, y, z) = (2, 0, 1) can be done as follows. Let $M_0 = \{e_1, e_2, e_3\}$, where the edges e_1 and e_2 are faithful, and the edge e_3 is co-faithful. By Lemma 3.8, the subgraph G[J] has a near perfect matching M_1 covering the 2-matching $\{e_1, e_2\}$, such that the associated uncovered vertex q_j satisfies that its symmetric vertex q_j' is uncovered by the edge e_3 . By Lemma 3.7, the subgraph $G[J'] - V(e_3) - q_j$ has a perfect matching M_2 . Hence the matching $M_1 \cup M_2 \cup \{e_3, q_j q_j'\}$ is a perfect matching of the graph G which extends the matching M_0 . This completes the proof of Theorem 3.4.

Since the bow-tie graph $C_6 \bowtie P_n$ can be embedded onto the Klein bottle, Theorem 3.4 implies immediately that there is an infinite number of 3-extendable graphs which are N_2 -embeddable. For completeness, we pose the following conjecture.

Conjecture 3.9. For any even integer $m \geq 6$ and any odd integer $n \geq 5$, the graph $C_m \bowtie P_n$ is 3-extendable.

4. Proof of Theorem 1.2

Recall that $\mu'(\Sigma)$ is the minimum integer k such that there is no Σ -embeddable k-extendable non-bipartite graphs. It follows that $\mu'(\Sigma) \leq \mu(\Sigma)$. This section is devoted to find out $\mu'(\Sigma)$. We will need the following lemma.

Lemma 4.1. Let $k \geq 1$. Any connected k-extendable graph of order 2k + 2 is either the complete graph K_{2k+2} , or the complete bipartite graph $K_{k+1, k+1}$.

Proof. It is easy to show for the case k=1. Below we let $k \geq 2$. Let G be a connected k-extendable graph with |G|=2k+2. By Theorem 2.9, either the graph G is bipartite or we have $\kappa(G) \geq 2k$.

In the former case, any vertex in the part with larger order has degree at most the order of the other part, and thus, at most |G|/2 = k + 1. By Theorem 2.6, we have $\delta(G) \ge k + 1$. Therefore, both parts of the graph G has order k + 1. Since $\delta(G) \ge k + 1$, we infer that $G = K_{k+1,k+1}$.

In the latter case, we suppose to the contrary that the graph G is not complete. Then G has a pair (u,v) of non-adjacent vertices. Since the graph G is k-extendable and is of order 2k+2, we infer that the subgraph G' = G - u - v does not have a perfect matching. On the other hand, any graph H of even order with $\delta(H) \geq |H|/2$ has a Hamilton circuit; see Ore [13]. Since $\delta(G') \geq 2k-2 \geq 2k/2 = |G'|/2$, we deduce that the subgraph G' has a Hamilton circuit, and a perfect matching in particular, a contradiction. This completes the proof.

Now we are in a position to show Theorem 1.2.

Proof. Let Σ be a surface of characteristic χ . Let $\mu'(\Sigma)$ to be the minimum integer k such that every Σ -embeddable non-bipartite graph is not k-extendable. Note that the inequality $\mu'(\Sigma) \leq \mu(\Sigma)$ holds for any surface Σ .

First, we deal with the sporadic cases that $\chi \geq -1$. For the sphere S_0 , we have $\mu'(S_0) \leq \mu(S_0) = 3$ by Theorem 1.1. On the other hand, it is clear that the graph $P_4 \times C_5$ is planar and non-bipartite. Since it is 2-extendable by Theorem 3.1, we deduce that

$$\mu'(S_0) = 3.$$

By Theorem 1.1, we have $\mu(N_1) = 3$. Thus, we infer that $\mu'(N_1) \leq 3$. Since every planar graph is N_1 -embeddable, we deduce that $\mu'(N_1) \geq \mu'(S_0) = 3$. Therefore, we conclude that

$$\mu'(N_1) = 3.$$

For the torus S_1 , we have $\mu'(S_1) \leq \mu(S_1) = 4$ by Theorem 1.1. On the other hand, it is clear that the graph $C_6 \times C_5$ is toroidal and non-bipartite. Since it is 3-extendable by Theorem 3.3, we infer that

$$\mu'(S_1) = 4.$$

For the Klein bottle N_2 , we have $\mu'(N_2) \leq \mu(N_2) = 4$ by Theorem 1.1. On the other hand, the N_2 -embeddable non-bipartite graph $C_6 \bowtie P_5$ is 3-extendable by Theorem 3.4. Thus we have

$$\mu'(N_2) = 4.$$

Along the same line, we have $\mu'(N_3) \leq 4$ by Theorem 1.1. Since $\mu'(N_3) \geq \mu'(N_2) = 4$, we infer that

$$\mu'(N_3) = 4.$$

Below we can suppose that $\chi \leq -2$. Write

(4.1)
$$n = \lfloor (7 + \sqrt{49 - 24\chi})/4 \rfloor.$$

Since $\chi \leq -2$, one may estimate that $n \geq 4$. By Theorem 2.3, it is direct to check that the complete graph K_{2n} is Σ -embeddable. It is obvious that the graph K_{2n} is both (n-1)-extendable and non-bipartite. Thus we have $\mu'(\Sigma) \geq n$.

Suppose, by way of contradiction, that $\mu'(\Sigma) > n$. Then there exists a the graph G, which is Σ -embeddable, n-extendable, and non-bipartite.

The *n*-extendability implies that the graph G has at least 2n + 2 vertices. If |G| = 2n + 2, then the graph G must be the complete graph K_{2n+2} by Lemma 4.1. By computing the genus and the non-orientable genus of the graph K_{2n+2} directly, we see that K_{2n+2} is not Σ -embeddable. Thus we have $|G| \geq 2n + 4$.

Let v be a control point in an embedding of the graph G on the surface Σ .

Assume that $|G| \leq 4n$. By Theorem 2.9, we deduce that the connectivity $\kappa(G)$ is at least 2n. It follows that

$$d(v) \ge \delta(G) \ge \kappa(G) \ge 2n$$
.

Let x be the number of triangles containing v. Let y = d(v).

When y=2n, since the graph G is n-extendable, we infer that $x \leq 2n-2$. By Lemma 2.4, we deduce that

$$\frac{2n+1}{6} = \frac{y}{4} - \frac{2n-2}{12} \le \frac{y}{4} - \frac{x}{12} \le 1 - \frac{\chi}{2n+4}.$$

Solving it we find that $n \leq (1 + \sqrt{81 - 24\chi})/4$. By Eq. (4.1), we obtain that

$$\left| \frac{7 + \sqrt{49 - 24\chi}}{4} - 1 < \left| \frac{7 + \sqrt{49 - 24\chi}}{4} \right| \le \frac{1 + \sqrt{81 - 24\chi}}{4}, \right|$$

which implies that $\chi > 0$, a contradiction. Otherwise $y \ge 2n + 1$. By Lemma 2.4, we have

$$\frac{2n+1}{6} \le \frac{y}{6} \le 1 - \frac{\chi}{2n+4},$$

which is same to Ineq. (4.2) and thus impossible.

Now we are led to the case that $|G| \ge 4n + 2$. Assume that $x \le 2n - 2$. By Lemma 2.4 and Lemma 2.10, we have

$$\frac{n+1}{4} \le \frac{n+1+\lceil x/2 \rceil}{4} - \frac{x}{12} \le \frac{y}{4} - \frac{x}{12} \le 1 - \frac{\chi}{4n+2},$$

Solving it we find that $n \leq (5 + \sqrt{49 - 16\chi})/4$. By Eq. (4.1), we obtain that

$$(4.3) \frac{7 + \sqrt{49 - 24\chi}}{4} - 1 < \left| \frac{7 + \sqrt{49 - 24\chi}}{4} \right| \le \frac{5 + \sqrt{49 - 16\chi}}{4}.$$

Solving the above inequality we find that $\chi \in \{-6, -5, -4, -3, -2\}$. Substituting each of these five values of χ into Ineq. (4.3), we get a contradiction. Otherwise, we have $x \ge 2n - 1$. Then Lemma 2.4 gives that

$$\frac{n+1}{4} \le \frac{2n+1}{6} = \frac{y}{6} \le 1 - \frac{\chi}{4n+2},$$

the same contradiction. This completes the proof of Theorem 1.2.

At the end of this paper, we would like to share the happy approach of finding Formula (1.2). Our previous result [12] on (n, k)-graphs is as follows.

Theorem 4.2 (Lu, Wang). Let Σ be a surface of characteristic χ . Let $\mu(n, \Sigma)$ be the minimum integer k such that there is no Σ -embeddable (n, k)-graphs. Then for $n \geq 1$, we have (4.4)

$$\mu(n,\Sigma) = \begin{cases} \max(0, 3 - \lceil n/2 \rceil), & \text{if the surface } \Sigma \text{ is homeomorphic to the sphere;} \\ \max(0, \lfloor (7 - 2n + \sqrt{49 - 24\chi})/4 \rfloor), & \text{otherwise.} \end{cases}$$

While Formula (4.4) was obtained by laborious computations, we discover Formula (1.2) by the guess-and-check strategy. Although (0, k)-graphs are exactly k-extendable graphs, Formula (4.4) is valid under the premise $n \ge 1$. Nevertheless, it was Formula (4.4) by which we were inspired to guess Formula (1.2) out.

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